



Attorney Docket No. 0756-7225

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Shunpei YAMAZAKI et al.

Serial No. 10/728,933

Filed: December 8, 2003

For: METHOD FOR MANUFACTURING  
SEMICONDUCTOR APPARATUS,  
AND SEMICONDUCTOR  
APPARATUS AND ELECTRIC  
APPLIANCE

) Group Art Unit: 2891

) Examiner: D. Zarneke

) CERTIFICATE OF MAILING

) I hereby certify that this correspondence is  
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Alexandria, VA 22313-1450, on

12-28-05

Rose O'Neil

)

)

INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56 and 37 C.F.R. 1.97-1.99, Applicant submits herewith a Form PTO-1449 listing information known to Applicant and requests that this information be made of record in the above identified application. Copies are submitted herewith in accordance with 37 C.F.R. 1.98(a).

Copies of U.S. patents and U.S. publications are not enclosed in accordance with the Notice published in the Official Gazette on August 5, 2003 entitled *Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications filed after June 30, 2003*, which waives the requirement under 37 CFR 1.98(a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. publication.

A check in the amount of \$180 is being submitted to comply with the provisions of 37 C.F.R. § 1.97(c).

The Commissioner is hereby authorized to charge fees under 37 C.F.R. §§1.16, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be required now or hereafter, or credit any overpayment to Deposit Account No. 50-2280. A duplicate copy of this sheet is attached.

Respectfully submitted,



Eric J. Robinson  
Reg. No. 38,285

Robinson Intellectual Property Law Office, P.C.  
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Potomac Falls, Virginia 20165  
(571) 434-6789

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PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

Approved for use through 1/31/2013. GPO 2009-088

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<p>Substitute for form 1449A/PTO <b>DEC 9 9 2005</b> 61-6</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p>(use as many sheets as necessary)</p>				<p><i>Complete if Known</i></p>	
				Application Number	10/728,933
				Filing Date	December 8, 2003
				First Named Inventor	Shunpei YAMAZAKI et al.
				Group Art Unit	2891
				Examiner Name	D. Zarneke
				Attorney Docket Number	0756-7225
Sheet	1	of	1		

## **U.S. PATENT DOCUMENTS**

## FOREIGN PATENT DOCUMENTS

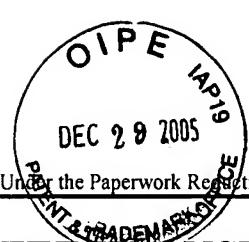
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup> (if known)				

#### OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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# FEE TRANSMITTAL FOR FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

 Applicant Claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$ 180.00)

Complete if Known

Application Number	10/728,933
Filing Date	December 8, 2003
First Named Inventor	Shunpei YAMAZAKI et al.
Examiner Name	D. Zarnke
Group Art Unit	2891
Attorney Docket No.	0756-7225

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 50-2280

Deposit Account Name Robinson Intellectual Property Law Office

 Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 and credit overpayments Applicant claims small entity status. See 37 CFR 1.272.  Payment Enclosed:
 Check  Credit Card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1001	300	2001 150 Utility filing fee	
1111	500	2111 250 Search fee	
1311	200	2311 100 Examination fee	
Over 100 Sheets/250 for each additional 50			

SUBTOTAL (1) (\$)

## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
	-20** =	X \$50	=
Independent Claims	-3** =	X \$200	=
Multiple Dependent			

Large Entity Fee Code	Small Entity Fee Code	Fee Description
1202	50	2202 25 Claims in excess of 20
1201	200	2201 100 Independent claims in excess of 3
1203	360	2203 180 Multiple dependent claim, if not paid
1204	200	2204 100 ** Reissue independent claims over original patent
1205	50	2205 25 ** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
1051	130	2051 65 Surcharge - late filing fee or oath		
1052	50	2052 25 Surcharge - late provisional filing fee or cover sheet		
1053	130	1053 130 Non-English specification		
1812	2,520	1812 2,520 For filing a request for <i>ex parte</i> reexamination		
1804	920*	1804 920* Requesting publication of SIR prior to Examiner action		
1805	1,840*	1805 1,840* Requesting publication of SIR after Examiner action		
1251	120	2251 60 Extension for reply within first month		
1252	450	2252 225 Extension for reply within second month		
1253	1020	2253 510 Extension for reply within third month		
1254	1,590	2254 795 Extension for reply within fourth month		
1255	2,160	2255 1080 Extension for reply within fifth month		
1401	500	2401 250 Notice of Appeal		
1402	500	2402 250 Filing a brief in support of an appeal		
1403	1000	2403 500 Request for oral hearing		
1451	1,510	1451 1,510 Petition to institute a public use proceeding		
1452	500	2452 250- Petition to revive - unavoidable		
1453	1,500	2453 750 Petition to revive - unintentional		
1501	1,400	2501 700 Utility issue fee (or reissue)		
1502	800	2502 400 Design issue fee		
1503	1100	2503 550 Plant issue fee		
1462	400	1462 400 Petitions, Group I		
1463	200	1463 200 Petition, Group II		
1464	130	1464 130 Petitions, Group III		
1807	50	1807 50 Processing fee under 37 CR 1.17(q)		
1806	180	1806 180 Submission of Information Disclosure Stmt		\$180.00
8021	40	8021 40 Recording each patent assignment per property (times number of properties)		
1809	790	2809 395 Filing a submission after final rejection (37 CFR § 1.129(a))		
1810	790	2810 395 For each additional invention to be examined (37 CFR § 1.29(b))		
1801	790	2801 395 Request for Continued Examination (RCE)		
1802	900	1802 900 Request for expedited examination of a design application		

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 180.00)

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SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	Eric J. Robinson	Registration No. (Attorney/Agent)	38,285	Telephone (571) 434-6789
Signature	<i>Eric J. Robinson</i>			Date 12-28-05